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# NOTICE OF ALLOWANCE AND FEE(S) DUE

47973

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08/06/2009

WORKMAN NYDEGGER/MICROSOFT 1000 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111 EXAMINER

SAEED, USMAAN

ART UNIT PAPER NUMBER

2166

DATE MAILED: 08/06/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,532	06/25/2003	Michael Joseph Pizzo	13768.402	4133

TITLE OF INVENTION: REGISTERING FOR AND RETRIEVING DATABASE TABLE CHANGE INFORMATION THAT CAN BE USED TO INVALIDATE CACHE ENTRIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/06/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 47973 7590 08/06/2009 Certificate of Mailing or Transmission WORKMAN NYDEGGER/MICROSOFT I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1000 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/603,532 06/25/2003 Michael Joseph Pizzo 13768.402 4133 TITLE OF INVENTION: REGISTERING FOR AND RETRIEVING DATABASE TABLE CHANGE INFORMATION THAT CAN BE USED TO INVALIDATE CACHE ENTRIES APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 11/06/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS SAEED, USMAAN 707-200000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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1000 EAGLE GATE TOWER			ART UNIT	PAPER NUMBER
60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111			2166 DATE MAILED: 08/06/200	9

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 501 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 501 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)		
	10/603,532	PIZZO ET AL.		
Notice of Allowability	Examiner	Art Unit		
	USMAAN SAEED	2166		
The MAILING DATE of this communication appearuments being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIPORT OF THE OF T	(OR REMAINS) CLOSED in or other appropriate common or other appropriate common of the	n this application. If not included unication will be mailed in due cour subject to withdrawal from issue at a section of the	se. <b>THIS</b>	
a) All b) Some* c) None of the:				
1. Certified copies of the priority documents have				
2. Certified copies of the priority documents have				
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage application t	rom the	
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	IENT of this application. itted. Note the attached EX	AMINER'S AMENDMENT or NOTIC		
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.			
(a) ☐ including changes required by the Notice of Draftspers		v ( PTO-948) attached		
(b) ☐ including changes required by the attached Examiner's  Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1	s Amendment / Comment o	he drawings in the front (not the bacl	k) of	
each sheet. Replacement sheet(s) should be labeled as such in t  6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MAT	ERIAL must be submitted. Note	the	
Attachment(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit	6. ☐ Interview S Paper No. 7. ☐ Examiner's	formal Patent Application ummary (PTO-413), 'Mail Date Amendment/Comment Statement of Reasons for Allowan	ce	
of Biological Material			-	
	9. 🗌 Other	<u>-</u>		

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### **DETAILED ACTION**

1. This communication is in response to the amendments filed on 07/06/2009.

After thorough search and examination of the present application and in light of the prior art made of record, claims 1-9, 11, 36-38, 51-52, 54, 56-57, and 59-63 (renumbered as 1-23) are allowed.

Claims 10, 12-35, 39-50, 53, 55, and 58 have been cancelled.

#### Reason for Allowance

2. The prior art made of record does not teach or fairly suggest the combination of elements, as recited in independent claims 1, 36, and 60.

More specifically, the prior art of records does not specifically suggest the combination of "an act receiving a Web based request for a Web based response that is to include the interim results subsequent to caching the interim results in the cache entry, the web based request corresponding to a page file which includes one or more scripts to be executed to determine the Web based response; an act of determining how to access the interim results for inclusion in a Web based response based on the results of comparing the versioning information and in response to receiving the Web based request for the portion of content, the act comprising an act of determining that the interim results are to be reconstructed from the one or more records in the selected data table and one or more records in one or more other data tables; an act of accessing the interim results in accordance with the determination, the act comprising an act of reconstructing the interim results from one or more records in the selected data table

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and the one or more records in the one or more other data tables not withstanding that interim results were cached at the computer system when the Web based request was received; an act of assigning a database cache dependency to at least a portion of the constructed Web based response, the executed commands defining at least one database and at least one table on which the database cache entry is dependent, and further defining the portion of the constructed web based response on which the cache dependency is dependent" in combination with all the other limitations in the independent claims 1, and 36.

Further, the prior art of records does not specifically suggest the combination of "an act of constructing first interim results from a collection of records, including a plurality of records in the selected data table and one or more records from one or more other data tables, the first interim results usable in the generation of a plurality of different Web based responses; an act of constructing second interim results from a collection of records, including a plurality of records in the selected data table and one or more records from one or more other data tables, the second interim results usable in the generation of a plurality of different Web based responses and dependent on the first interim results; an act of caching the first interim results in a database dependent cache entry in the cache, the database dependent cache entry including the versioning information identifying and corresponding to the selected data table; an act of caching the second interim results in a key dependent cache entry in the cache, the key dependent cache entry including a key dependency to the database dependent cache entry, the key dependency indicating that the key dependent cache entry should be

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invalidated by the database dependent cache entry when a change to a record in the selected data table is detected; an act of the database dependent cache entry invalidating the key dependent cache entry for the second interim results" in combination with all the other limitations in the independent claim 60.

These features together with other limitations of the independent claims are novel and non-obvious over the prior art of record. The dependent claims 2-9, 11, 37-38, 51-52, 54, 56-57, 59, and 61-63 being definite, enabled by the specification, and further limiting to the independent claims, are also allowable.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

## **Contact Information**

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to USMAAN SAEED whose telephone number is (571)272-4046. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on (571)272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Usmaan Saeed/ Examiner, Art Unit 2166 July 27, 2009 Usmaan Saeed Patent Examiner Art Unit: 2166

/Hosain T Alam/

Supervisory Patent Examiner, Art Unit 2166